

## APPENDIX 1

### Audits performed in the period to 30/9/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Blackwood Primary School	Establishment Audit	Effective with opportunity to improve	15/11/2019	01/04/2021
NFI data match - Duplicate payments	Regularity	Effective with opportunity to improve	15/01/2020	08/04/2021
NFI match Duplicated creditor records	Regularity	Effective with opportunity to improve	15/01/2020	08/04/2021
Trinant Primary School	IT Audit	Effective with opportunity to improve	11/02/2020	30/09/2021
Aberbargoed Primary School	IT Audit	In need of improvement	19/02/2020	30/09/2021
Ysgol Ifor Bach	IT Audit	Effective with opportunity to improve	21/02/2020	30/09/2021
Gilfach Fargoed Primary School	IT Audit	Effective with opportunity to improve	27/02/2020	30/09/2021
Ysgol Gymraeg Cwm Derwen	IT Audit	Inadequate	27/02/2020	30/09/2021
Coed Y Brain Primary School	IT Audit	In need of improvement	02/03/2020	30/09/2021
Crumlin High Level Primary School	IT Audit	Inadequate	03/03/2020	30/09/2021
Blackwood Primary School	IT Audit	Effective	05/03/2020	30/09/2021
Machen Primary School	IT Audit	Effective with opportunity to improve	09/03/2020	30/09/2021
Deri Primary School	IT Audit	In need of improvement	09/03/2020	30/09/2021
Fleur De Lys Primary School	IT Audit	Inadequate	09/03/2020	30/09/2021
Glyngaer Primary School	IT Audit	Effective with opportunity to improve	09/03/2020	30/09/2021
Libanus Primary School	IT Audit	Inadequate	10/03/2020	30/09/2021
Pengam Primary School	IT Audit	Inadequate	11/03/2020	30/09/2021
Pontllanfraith Primary School	IT Audit	Effective with opportunity to improve	11/03/2020	30/09/2021
Penllwyn Primary School	IT Audit	Effective with opportunity to improve	11/03/2020	30/09/2021

<b>Audit Name</b>	<b>Audit Type</b>	<b>Audit Opinion</b>	<b>Audit Started</b>	<b>Audit Completed</b>
Llanfabon Infants	IT Audit	In need of improvement	11/03/2020	30/09/2021
Cwmfelinfach Primary School	IT Audit	Inadequate	16/03/2020	30/09/2021
Rhydri Primary School	IT Audit	In need of improvement	16/03/2020	30/09/2021
Risca Primary School	IT Audit	Effective with opportunity to improve	16/03/2020	30/09/2021
Corporate Safeguarding self evaluation	Crosscutting Review		02/02/2021	
School Private Fund Review	Themed Review	In need of improvement	19/02/2021	06/09/2021
Review of AP forensics processes	System Audit	Effective	15/03/2021	15/04/2021
Bryn Primary	Control Risk Self Assessment (CRSA)	Effective	16/03/2021	17/05/2021
Cefn Fforest Primary	CRSA	Effective	19/03/2021	14/06/2021
Central Creditors and PL Internal Controls	System Audit		19/03/2021	
Central Income management	System Audit	Effective	22/03/2021	17/05/2021
Penllwyn Primary Control risk self assessment	CRSA	Effective	22/03/2021	27/05/2021
Lewis School Pengam	CRSA	Effective	24/03/2021	27/05/2021
Trinity Fields	CRSA	Effective	24/03/2021	18/06/2021
Ysgol Ifor Bach	CRSA	Effective	24/03/2021	06/07/2021
St Helens Primary	CRSA	Effective with opportunity to improve	29/03/2021	17/05/2021
Tir Y Berth Primary	CRSA	Effective with opportunity to improve	29/03/2021	17/05/2021
Deri Primary	CRSA	Effective with opportunity to improve	29/03/2021	27/05/2021
Central Debtors income	System Audit	Effective	31/03/2021	29/04/2021
School 1 Special Investigation	Special Investigation	Inadequate	08/04/2021	
Newbridge Comprehensive	CRSA	Effective	12/04/2021	06/07/2021
Plas Y Felin Primary	CRSA	Effective with opportunity to improve	19/04/2021	27/05/2021
Blackwood Comprehensive	CRSA	Effective	20/04/2021	17/05/2021
Community Hydro	Grant certification	N/A Grant certification	20/04/2021	17/06/2021

<b>Audit Name</b>	<b>Audit Type</b>	<b>Audit Opinion</b>	<b>Audit Started</b>	<b>Audit Completed</b>
Ysgol Gymraeg Penalltau	CRSA	In need of improvement	20/04/2021	
Bedwas Juniors	CRSA	Effective with opportunity to improve	28/04/2021	27/05/2021
Ysgol Gymraeg Cwm Derwen	CRSA	Effective	28/04/2021	06/07/2021
Ynysddu Primary	CRSA	Effective with opportunity to improve	29/04/2021	27/05/2021
Crumlin High Level	CRSA	Effective with opportunity to improve	30/04/2021	19/05/2021
Derwendeg Primary	CRSA	Effective	05/05/2021	27/05/2021
PCI	Regularity		05/05/2021	
Go Connect Virtual Inclusion Programme	Grant certification	N/A Grant certification	11/05/2021	19/05/2021
Cwmfelinfach Primary	CRSA	In need of improvement	12/05/2021	23/06/2021
NFI 707 Duplicate record by reference, amount and creditor reference	Crosscutting Review	Effective	19/05/2021	17/05/2021
NFI 708 Duplicate record by creditor reference and amount	Regularity	Effective	19/05/2021	19/05/2021
NFI 711 Duplicate record by differing creditor reference same amount invoice number	Regularity	Effective	19/05/2021	19/05/2021
NFI 712 Duplicate supplier records by invoice amount and different creditor reference	Regularity	Effective	19/05/2021	19/05/2021
NFI 2020/21 713 Duplicate supplier records by postcode	Regularity	Effective	19/05/2021	19/05/2021
NNDR COVID grants High level review	System Audit		19/05/2021	
NFI 709 - VAT Overpayment	Regularity		19/05/2021	
Data protection and PCI e-learning record keeping and compliance	Regularity		19/05/2021	
SR218 Rose Cottage Retaining Wall	Contract Audit	N/A Contract Certificated	21/05/2021	25/05/2021
CH Brickwork Porset Park	Contract Audit	N/A Contract Certificated	10/06/2021	17/06/2021
Rents - Collection, Recovery and Administration	CRSA	Effective	15/06/2021	22/06/2021

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Bryn Awel Primary	CRSA	Effective with opportunity to improve	15/06/2021	08/07/2021
Regener8 Cymru	Grant certification	N/A Grant certification	18/06/2021	11/06/2021
Ty Isaf Infants	CRSA	In need of improvement	18/06/2021	06/07/2021
Cwm Ifor Primary	CRSA	Effective with opportunity to improve	18/06/2021	08/07/2021
Phillipstown Primary	CRSA	Effective with opportunity to improve	22/06/2021	23/06/2021
Markham Primary	CRSA	In need of improvement	22/06/2021	25/06/2021
Tyn Y Wern Primary	CRSA	In need of improvement	22/06/2021	05/07/2021
Cwmglas Infants	CRSA	Inadequate	22/06/2021	08/07/2021
Greenhill Primary	CRSA	Effective with opportunity to improve	22/06/2021	23/07/2021
Nant Y Park Primary	CRSA	Effective with opportunity to improve	24/06/2021	17/08/2021
Regener8ing Cymru May 21	Grant certification	N/A Grant certification	02/07/2021	07/07/2021
IT user access Audit	Regularity		06/07/2021	
Abercarn Primary	CRSA	Effective	07/07/2021	03/08/2021
Porset Park GKR	Contract Audit	N/A Contract Certificated	08/07/2021	06/08/2021
Bevan Rise-Close Davies Bros	Contract Audit	N/A Contract Certificated	13/07/2021	06/08/2021
Cwmaber Infants	CRSA	Effective with opportunity to improve	15/07/2021	
Cwmaber Juniors	CRSA	Effective with opportunity to improve	16/07/2021	
EXT17C-EC27 Pengam RGPO1062 1263 / GEN1001 108 GKR Maintenance	Contract Audit	N/A Contract Certificated	26/07/2021	11/08/2021
EXT17C-EC23 Fairview RGPO1062 8867 / GEN1001 143 GKR Maintenance	Contract Audit	N/A Contract Certificated	27/07/2021	11/08/2021
Cwmcarn Primary School	CRSA	Effective	29/07/2021	
Bedwas Infants	CRSA	Effective with opportunity to improve	29/07/2021	

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EXT17C-E03A Blackwood B RGPO1062 1209 / GEN 1001 112 LCB Construction Ltd	Contract Audit	N/A Contract Certificated	02/08/2021	11/08/2021
EXT16-U14 & U15 combined contracts Gilfach & Bargoed RGPO1062 8864 / GEN1001 144 MSH Building	Contract Audit	N/A Contract Certificated	03/08/2021	23/08/2021
Fleur De Lys Primary	CRSA	Inadequate	11/08/2021	23/08/2021
Hengoed Primary	CRSA		11/08/2021	
Pengam Primary	CRSA	Inadequate	12/08/2021	23/08/2021
Flood mitigation	Contract Audit	N/A Contract Certificated	13/08/2021	23/08/2021
SL119 A467 Newbridge to Crumlin – Rock Slope Remediation Scheme	Contract Audit	N/A Contract Certificated	13/08/2021	23/08/2021
Pantside Primary	CRSA	Effective	16/08/2021	
Pentwynmawr Primary	CRSA		16/08/2021	
Ysgol Cwm Gwyddon	CRSA		17/08/2021	
Tynewydd Primary	CRSA		17/08/2021	
SO571 – Capital Valley Ind. Est. Culvert .	Contract Audit	N/A Contract Certificated	23/08/2021	25/08/2021
Ysgol Y Castell	CRSA		01/09/2021	
Ty Sign Primary	CRSA		01/09/2021	
4667 - Bedwas Infants, Boiler Replacement	Contract Audit	N/A Contract Certificated	02/09/2021	13/09/2021
Waunfawr Primary	CRSA	Effective with opportunity to improve	03/09/2021	
EXT17C-LC20 Lansbury Park Phase 1	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
4747 - Ysgol Bro Sannan, Boiler Replacement	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
4745 - St Cenydd 6th Form Block, Boiler Replacement	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
EXT17C-LC20B Lansbury Park Phase 2	Contract Audit	N/A Contract Certificated	08/09/2021	
Supporting people grant	Grant certification	N/A Grant certification	13/09/2021	30/09/2021
Education Improvement Grant (EIG)	Grant certification	N/A Grant certification	16/09/2021	20/09/2021
Pupil Deprivation Grant (PDG)	Grant certification	N/A Grant certification	16/09/2021	20/09/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Baseline Personnel Security Standards (BPSS)	Regularity	In need of improvement	21/09/2021	
Ysgol Gymraeg Bro Allta	CRSA		24/09/2021	